

UIM and ESR Interface to UIM: Pilot Pre-Requisites

Pre-requisite	Applies to	Evidence Required	Comments
Board level commitment	ESR and UIM	<ul style="list-style-type: none"> Confirmation from board (or board representative) to support the pilot 	Board minutes or letter or email from board representative.
Executive Sponsor	ESR and UIM	<ul style="list-style-type: none"> Contact details required Communication accepting responsibilities outlined in the this PID for sponsor 	Name of senior manager responsible for delivery of the pilot project
HR, RA Integration	ESR and UIM	<ul style="list-style-type: none"> LSWC completion report Revised process / procedure documentation Communications between HR and RA functions Revised job roles 	For UIM this may also cover wider business process integration
Kick off meeting	ESR and UIM	<ul style="list-style-type: none"> Commitment to send key representatives to the pilot kick off meeting to be held in London on 28th July for Phase 1 or 18th August for Phase 2. 	Should be a project manager / lead and / or HR + RA lead. Maximum of 3 attendees per organisation required.
PBAC	ESR and UIM	Commitment to provide before pilot commences: <ul style="list-style-type: none"> List of access control positions to be used Definition of access control attributes per position 	For pilots this may be a representative sample of the organisation
Positions Mapping (may be in scope of pilot project if not completed previously)	ESR only	Commitment to provide before pilot commences: <ul style="list-style-type: none"> Signed off (by senior Information Governance representatives) mapping table showing ESR positions mapped to access control positions 	For pilots this may be a representative sample of the organisation
Resources Committed	ESR and UIM	<ul style="list-style-type: none"> Acceptance of resource requirements as outlined in the PID in formal communication to the central team 	Must undertake to commit resources for agreed timeline of the pilot project. Includes commitment not to allow other initiatives to subsequently remove resource or support from the pilot project.
Smartcard access to ESR	ESR only	<ul style="list-style-type: none"> Data load completed or manual entry of UUIDs for all core ESR users (except Self Service and NLMS users where agreed) All users logging on to ESR via Smartcard Disabled traditional user login 	By time of commencement of pilots all core ESR users should have transferred access via Smartcard, however ESR interface pilots should ideally be from Smartcard pilot organisations of those who have had an earlier load slot (prior to August 2009) to ensure that usage is fully bedded in).

Pre-requisite	Applies to	Evidence Required	Comments
Strategic Decision	ESR and UIM	<ul style="list-style-type: none"><li data-bbox="644 235 1003 436">• Communication from sponsor or other senior individual indicating which implementation model for integrated identity management has been selected	Based on information contained in the 'Developing a strategy for integrated identity management' toolkit