

Information for prospective UIM and ESR Interface pilots

Background

Up to 15 NHS organisations are being selected to pilot the technical solutions and the implementation methodology for both the new User Identity Manager (UIM) software and the ESR interface to UIM as part of the Integrated Identity Management initiative.

Pilots will be selected on their ability to demonstrate compliance to a defined list of pre-requisites and through a formal application (both attached).

Pilots are required for two types of implementation model:

1. **UIM alone.** These are likely to be organisations with few or no employees on ESR who are **not** planning to deploy the ESR interface.
2. **UIM plus the ESR interface.** These are likely to be organisations where there is a significant overlap between the employee base and users of NHS Care Records Service (CRS). Where the ESR interface is to be piloted then the pilot must also include UIM because ESR can only interface to NHS CRS through UIM.

Please refer to the 'Developing a Strategy for Integrated Identity Management' toolkit for further information regarding the choice of implementation model. This, along with further information about Integrated Identity Management and the technical solutions, can be found at both www.esrsolution.co.uk/iim and <http://www.connectingforhealth.nhs.uk/ra>

Support available for pilots

Following two 'First of Type' pilots (organisations have already been selected for this piece of work) that will provide the initial confirmation of the effectiveness of each implementation model, the Central ESR Team and NHS Connecting for Health (CFH) Access Control Team are seeking applications for additional Phase 1 and Phase 2 pilots. These organisations will be supported as follows:

- a) Support will be provided for Phase 1 pilots in person on site, by email and by phone to ensure that all issues are resolved as rapidly as possible.
- b) Phase 2 pilots will receive less direct support from the central teams in order to provide a better assessment of both usability of the functionality and the implementation guidance.

Benefits of becoming a pilot

Early adopters of the solution will:

- Benefit from the direct support of the central teams
- Have ability to trial the new processes/functionality and to have input into future enhancements
- Have the ability to contribute lessons learned to improve the implementation approach and documentation
- Help to build on their own work already carried out in the areas of ESR, use of NHS CRS, HR, RA integration and position based access
- Be able to put their own vision and ideas into practice sooner
- Have the ability to act as a beacon site and advise and assist other organisations with their implementations in their local area.

General objectives for all pilots

- a) To prove that the technical solutions operate correctly (as designed and to meet operational and procedural requirements).
- b) To trial the UIM and ESR interface associated processes in a controlled manner.
- c) To report any issues with the technical solutions to ensure that any necessary corrections/development can be undertaken prior to wider scale rollout.
- d) To trial, review and update the guidance, implementation methodologies, processes and plans provided by the ESR and NHS Connecting for Health central teams.
- e) For the central teams to learn from the pilot experience in order to provide better support for the wider rollout.

- f) To become exemplars of the solution and its implementation and to support and promote this to other NHS organisations including attendance at the November Road Shows to share progress to date.

Other selection considerations

- It is planned to have a representative pilot from each SHA region.
- Pilots include a mixture of different types of NHS organisations.
- Up to a maximum of 15 pilots will be selected based on the above and on the applications received.

Timescales

- Please note, due to the delivery of the software development, there are currently two potential start dates for the ESR interface pilots; the ESR delivery may be delivered on 29 August, or the 3 October 2009. Confirmation of the final date will be issued mid August.
- First of Type pilots will run in the weeks immediately following the launch of the technical solutions to resolve any immediate issues
- The pilot project, starting with First of Type pilots, is expected to run from early September 2009 to the end of January 2010 based on the ESR release date of August 29 2009. If the ESR release is confirmed as 3 October, the ESR pilot project will be expected to run from early October until the end of February 2010.
- Further dates are outlined below:

Activity	UIM Alone Pilots	ESR interface Pilots (if Software released on 29 August)	ESR interface Pilots (if Software released on 3 October)
Phase 1 Kick off meeting	July 28 th 2009 in London		
Phase 2 Kick off meeting	August 18 th 2009 in London		
Pilot preparation activities begin	July 29 th 2009 Onwards (Phase 1) August 19 th Onwards (Phase 2)		
Phase 1 Pilots	Early October – Mid November	Early October – Mid November	Mid November – End December
Phase 2 Pilots	End November 2009 to end of January 2010	End November 2009 to end of January 2010	January 2010 to the end of February 2010

To apply to become a pilot

In order to apply to become a pilot for this project please:

- Review the pre-requisites in the attached document
- Provide the requested evidence that your organisation meets these pre-requisites
- Complete the attached application form
- Send the application form and your evidence sources to lindadavies2@nhs.net by Wednesday July 22nd 2009

Applicants will be assessed by the criteria contained within the application document and their readiness to undertake a pilot. Assessment will take place during the week commencing July 20th 2009. Successful pilots will be notified by Friday July 24th 2009.

If you would like more information please contact:

For ESR: Stuart Fox - stuart.fox@nhs.net, or Allan Morris - allan.morris@nhs.net

For NHS CFH: Please email your contact details to Linda Davies - lindadavies2@nhs.net and a member of the NHS CFH Access Control team will contact you.